

Title page

AntiTNF α : Risk factor of major complications following ileocolic resection for Crohn's disease.

Benichou Benjamin¹ (MD), Rahili Mohamed Amine¹ (MD), Bernard Jean Louis¹ (MD), Hébuterne Xavier² (MD, PhD), Schneider Stéphane² (MD, PhD), Benizri Emmanuel¹ (MD, PhD).

¹ Department of General Surgery and Digestive Oncology, University Hospital of Nice, France

² Department of Gastroenterology and Clinical Nutrition, University Hospital of Nice, France

Corresponding Author:

Benichou Benjamin, Department of General Surgery and Digestive Oncology, Centre Hospitalier Universitaire de Nice, Hôpital de l'Archet 2, 151 route Saint Antoine de Ginestière, 06000 Nice, France.

E-mail : benjamin.benichou4@gmail.com Tel : +33-492-036335

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1 **AntiTNF α : Risk factor of major complications following ileocolic resection for Crohn's**
2 **disease.**

3

4 **Abstract**

5 Introduction: The aim of this study was to determine the risk factors of complications in the era of
6 anti-tumor necrosis factor.

7 Methods: This was a single-center retrospective study covering the period 1999 to 2015 of
8 patients who underwent a first ileocolic resection for Crohn's disease. We evaluated the
9 impact of preoperative characteristics and operative data on serious complications within 90
10 days after surgery.

11 Results: 146 patients were included. Post-operative morbidity was 43.8 % with 21 serious
12 complications (14.4%). A univariate analysis, anti TNF in the 3 months before surgery ($p=$
13 0.0007), anti TNF and corticosteroid combination ($p = 0.006$), and operating time upper than
14 150 minutes ($p= 0.01$), were associated with the occurrence of a serious complication. In
15 multivariate analysis, anti TNF (OR = 4.21; 95% CI 1.23 to 16.87; $p= 0.02$) and conversion
16 (OR = 7.95; 95% CI = 1,68- 44.89; $p= 0.009$) were risk factors of serious complications.

17 Conclusion: The use of anti-TNF within 3 months before surgery and conversion during
18 laparoscopy are risk factors of serious complications after ileocolic resection for Crohn's
19 disease.

20

21 **AntiTNF α : Risk factor of major complications following ileocolic resection for Crohn's**
22 **disease.**

23

24 **Introduction**

25 Crohn's disease is a chronic inflammatory disease affecting all segments of the
26 digestive tract. About two-thirds of patients have ileal or ileocolic localisation (1-3) and 70%
27 of cases have a lesion of the last ileal loop (4). The surgery rate is about 5% per year (5). It is
28 estimated that 30% of patients were operated on five years after diagnosis and 50% after 10
29 years (6).

30 The use of anti TNF alpha is increasing but the impact on the bowel resection rate is
31 uncertain (7-11). This raises the question of whether it reduces or delays the need for surgery.

32 Although post-operative mortality is very low (<0.5%) in Crohn's disease (12,13), the
33 overall morbidity varies from 23 to 49% (14-16). The rate of intra-abdominal infectious
34 complications is estimated between 10 to 13% (17-19) with a rate of anastomotic leakage
35 from 5 to 11% (20,21). These complications increase the risk of anastomotic recurrence (22).

36 Identifying all risk factors of post-operative complications in Crohn's disease is
37 essential prior to surgery to help determine the achievement of a temporary stoma (19,21).
38 Several risk factors have been mentioned in the literature (23). Malnutrition must be assessed
39 using serum albumin (less than 30g / l) and determining the percentage of body weight loss
40 (5% in 1 month or 10% over 6 months) (19,22). The use of systemic corticosteroids within 3
41 months prior to surgery increases the risk of post-operative septic complications (12,19,24).
42 An operative time exceeding 180 minutes (25) and a history of bowel resection (24) are also
43 risk factors of post-operative complications.

44 The presence of fistulas or intra-abdominal abscesses are more important risk factors
45 than the phenotype (19). However, this risk is reduced if the abscess is drained before surgery

46 (26). In such situations, it is recommended to prepare the patient for surgery (drainage,
47 antibiotics, nutrition) (27).

48 The fistula rate seems to increase with end to end anastomosis (28). However, there is
49 no difference between mechanical and manual anastomosis in terms of post-operative fistulas
50 (19,24). The ECCO consensus of 2010 recommended a wide lumen stapled side to side
51 ileocolic anastomosis (27).

52 Thiopurines, aminosalicylates, methotrexate (24), duration of disease, margins of
53 resection, extent of resection, and number of anastomoses are not considered to be risk factors
54 for post-operative complications (13,25,29).

55 Anti TNF alpha are directed against the cytokine of the same name, which is involved
56 in the pathogenesis of Crohn's disease, and is a key component of the immune response.
57 Inactivation could lead to severe post-operative complications, particularly infectious ones.
58 The use of anti-TNF alpha has increased, with a controversial impact on post-operative
59 morbidity (27). Several studies and meta-analyzes did not find an increased risk in post-
60 operative complications (14-16,18, 20, 30-33), while others found an increased risk in patients
61 treated with anti TNF alpha within 3 months (17,21,34-38).

62 Yamamoto et al. (19) and Alves et al. (12) identified several risk factors: use of
63 systemic steroids before surgery, denutrition, abcess or fistula at the time of surgery, and
64 recurrent clinical episodes. The former study showed a 5% risk of intra-abdominal septic
65 complications without a risk factor, but 14%, 16%, 29% and 50% for one, two, three or four
66 risk factors, respectively. The latter recommended a temporary stoma in high-risk patients, (at
67 least three risk factors).

68 The aim of this study was to determine the risk factors of major complications following ileocolic
69 resection for Crohn's disease in the era of anti TNF alpha.

70

71 **Methods**

72 Data on patients older than 16 years old who underwent ileocecal or ileocolic resection
73 for Crohn's disease in the General Surgery Department of the University of Nice (France)
74 were collected for the period January 1999 to December 2015. Cases were excluded where
75 the diagnosis of Crohn's disease was uncertain or could not be confirmed after histological
76 analysis and iterative ileocolic resection.

77 Patients with intra-abdominal or retroperitoneal abscess were treated by percutaneous
78 or surgical drainage, antibiotics and enteral or parenteral nutrition. Surgery was delayed, if
79 possible. The percutaneous drainage was preferred if accessible and available.

80 The surgical procedure consisted of a right colectomy or an ileocaecale resection
81 according to the location of the lesions and performed by laparoscopy or laparotomy. The
82 decision to make a temporary stomy was based on preoperative data (corticosteroids
83 treatment, malnutrition) and intraoperative findings (abscess, fistulas). Otherwise, a stapled or
84 manual side to side ileocolic anastomosis was performed.

85 The following data were collected for each patient: age, gender, ASA score, smoker
86 status, malnutrition, disease duration since diagnosis, treatments before surgery, indication for
87 surgery (stenosis, penetrating, tumor), and the presence of preoperative abscesses and
88 drainage. In addition, data were collected on the surgical approach (laparotomy,
89 laparoscopic), conversion to laparotomy, the type of anastomosis (manual, stapled), the
90 operative time, the creation of a temporary stomy, digestive suture. Post-operative outcomes
91 were also obtained.

92 Malnutrition was defined by one of the following criteria at the time of surgery:
93 weight loss less than 5% in 1 month or 10% within 6 months, a serum albumin level less than
94 30 g/l, or a BMI below 18.5 kg/m².

95 Concerning preoperative medical treatments, data were recorded for patients treated

96 with (a) systemic steroids for at least one week in the 3 months prior to surgery, (b) anti-TNF
97 administration (infliximab, adalimumab, certolizumab pegol) in the 3 months prior to surgery,
98 and (c) a treatment by aminosalicylic thiopurine, methotrexate or budesonide at the time of
99 surgery.

100 The primary endpoint was the occurrence of a major complication during
101 hospitalization or within 90 days following surgery. Complications were divided according to
102 the classification of Clavien-Dindo using five grades (39). These complications were defined
103 as major for grades III and IV. Post-operative complications were divided into medical and
104 surgical complications. Intra-abdominal sepsis was determined by the presence of an intra-
105 abdominal abscess and/or an anastomotic leakage.

106

107 Statistical analysis

108 Continuous variables were expressed as the mean plus or minus the standard deviation.

109 Patients were divided into two groups according to the occurrence of major complications. A

110 Wilcoxon test was performed to determine differences between the two groups for qualitative

111 variables and Chi-squared for quantitative data. All variables with a probability <0.20 in the

112 univariate analysis were included in the multivariate analysis so as to identify independent

113 risk factors for post-operative major complications. These variables were included in a

114 logistic regression model. A p value <0.05 was defined as statistically significant. Statistical

115 analyzes were performed using JMP 12.1.0 software (SAS Institute, Cary, NC, USA).

116

117 **Results**

118 This study included 153 patients, of which 7 patients were excluded because of an
119 iterative ileocolic resection, uncertain diagnosis of Crohn's disease after histological analysis
120 or insufficient postoperative data.

121 Post-operative data are contained in **Table 1**. No deaths occurred, although 43.8% of
122 patients had one or more complications. Minor complications (Grade I-II) occurred in 43.1 %
123 including post-operative ileus (8.2%), wounds infections (7.5%), complications of stomy
124 (4.8%) and intra-abdominal sepsis (8.2%).

125 Major complications (Grade III-IV) occurred in 21 patients (14.4%). One post-
126 operative occlusion required surgery for adhesiolysis and one patient had a wound infection
127 that required surgery. One patient presented a post-operative clinical peritonitis with a diffuse
128 pneumoperitoneum on a CT scan. Surgical exploration did not find anastomotic leakage and
129 allowed the emptying of the pnemoperitoneum. One patient had surgery to fix a prolapsed
130 stoma.

131 An intra-abdominal sepsis occurred in 28 (19.2%) patients, 16 (11%) were
132 anastomotic leaks, 12 (8,2%) were intra-abdominal abscess, and 16 (11%) had been classified
133 as major complications. Thirteen patients with intra-abdominal sepsis required a radiological
134 drainage and 2 required surgical drainage. Three patients with an anastomotic fistula required
135 surgery for resection of the anastomosis and creation of a stoma.

136 The mean hospital stay was 11.2 days +/- 6.7 days and 26 (17.8%) patients were
137 readmitted.

138 The pre-operative characteristics of the patients are summarized in **Table 2**. There was
139 no significant difference between patients with major post-operative complication and the
140 others.

141 The mean extent of ileocolic resection was 28.9 +/- 12.6 cm, with an average of 21.9

142 +/- 12.5 for the ileum and 6.6 cm +/- 4.7 cm for the colon. There were no differences between
143 the groups.

144 Concerning pre-operative treatment, **Table 3**, there were serious complications in
145 patients treated with anti TNF alpha ($p = 0.0007$) or combination of corticosteroids and anti
146 TNF alpha ($p = 0.006$) in the three months prior to surgery. The difference was not significant
147 for patients treated with corticosteroids alone.

148 The stoma rate was higher in the corticosteroid group (38.7% vs 23.8%; $p = 0.018$), in
149 patients with malnutrition and pre-operative abscess (44% vs 23%; $p = 0.0045$ and 54% vs
150 17% ; $p = 0.0001$). There were no differences in stoma creation between patients treated by
151 anti TNF alpha as compared to the others (29.17% vs 34%; $p = 0.53$).

152 Operative data are summarized in **Table 4**. Laparoscopy was performed in 66.4% of
153 patients and a conversion was necessary in 17.1% of cases. Anastomosis were manual in half
154 of the cases, stapled in 21.2%, and a stoma was realized in 30.1% of the patients. In 3
155 patients, a loop ileostomy was created above the ileocolic anastomosis. In univariate analysis,
156 there were more post-operative severe complications when the operative time was greater
157 than 150 minutes (80% vs 50%; $p = 0.01$).

158 In multivariate analysis (**Table 5**), the conversion from laparoscopy to laparotomy was
159 a risk factor with serious complications (OR = 7.95; 95% CI 1.68 to 44.89; $p = 0.009$). Anti
160 TNF alpha treatment during the 3 months before the surgery was also a risk factor (OR =
161 4.21; 95% CI 1.23 to 16.87; $p = 0.02$).

162

163 **Discussion**

164 In this study the rate of anastomotic leak was 11%, which is similar to the literature.

165 However we found 19% of intra-abdominal infectious complications, which is higher as

166 compared to other studies (10-13%) (17-19).

167 Anti-TNF alpha is widely used in the treatment of Crohn's disease. The use of anti-

168 TNF alpha in the 3 months prior to surgery is estimated to be between 20 and 46% depending

169 (16,20,31,40). In this study the average use was 34.3% but between 1998 and 2007 the rate

170 was 14% and 47% between 2008 and 2015, reflecting the increasing use of this therapeutic

171 class.

172 The impact of anti-TNF α on post-operative morbidity remains controversial, in

173 particularly infectious ones (27). Some studies and meta-analyzes did not find an increased

174 risk of post-operative morbidity, overall infections or intra-abdominal infections (14-

175 16,18,20,24,30,32,41). These studies are heterogeneous including all types of digestive

176 resections and patients with Crohn's disease and others with ulcerative colitis (32, 41).

177 Moreover, some of these studies included all immunosuppressive treatments (thiopurine,

178 methotrexate, anti-TNF α) (16,18), introducing an obvious bias. Other studies have shown an

179 increased risk of post-operative complications with anti TNF, especially infections and intra

180 abdominal sepsis (34-38, 40).

181 In order to have a homogeneous group we, limited our investigation to ileocolic

182 resection. Two previous studies focused only on ileocolic resections. Appau et al. (21) found

183 the use of anti TNF α within 3 months as a risk factor for intraabdominal sepsis and Serradori

184 et al. (17) excluded patients with stoma and demonstrated that the combination corticosteroid

185 / anti TNF α was also a risk factor for post-operative intra-abdominal infection. We excluded

186 iterative resections because it was previously shown to be an independent risk factor for post-

187 operative morbidity (24).

188 There are variability's in studies on the primary endpoint that can be characterized by
189 the rate of post-operative morbidity, infectious complications, intra-abdominal sepsis or
190 anastomotic leakage.

191 We choose major complications as a primary endpoint (more than grade II of the
192 Clavien Dindo classification (39)). These are serious complications that require radiological,
193 surgical intervention or intensive care unit management. It appeared to be the most relevant
194 criteria because it has an impact on post-operative management of patients.

195 According to the pharmacokinetics, the anti TNF alpha remains in the blood for 3
196 months following administration. The majority of studies such as ours included in the anti-
197 TNF α group all patients with an injection in the three months before surgery. (17,21,34-38)

198 One study examined the relationship between the dosage of anti TNF α in serum
199 within seven days before surgical resection and post-operative outcomes. For Crohn's disease,
200 the rate of overall infectious complications was statistically superior when the dosage of anti
201 TNF α was higher than 3 mg / ml (42). Currently, such blood tests are not routinely performed
202 before surgery.

203 For patients with high risk of recurrence, some studies recommend a post-operative
204 anti TNF α treatment (43,44). A randomized controlled study placebo versus infliximab
205 showed no increased risk of post-operative complications in patients with infliximab
206 treatment between 2 to 4 weeks after surgery (45).

207 The rate of conversion of patients undergoing laparoscopic was 17%, which is
208 acceptable according others studies (6.8 to 17%) (46-50). The main causes of conversion were
209 local inflammation, intra-abdominal abscess and adhesions. Conversion during laparoscopy
210 was previously shown as a risk factor of post-operative morbidity in colorectal surgery
211 (51,52). However, the present study is the first to demonstrate it in surgery for Crohn's
212 Disease.

213 Regarding other risk factors, steroids, malnutrition and pre-operative abscess were not
214 found in our study. This is explained by a statistically higher rate of stoma in these patients.

215 Our study had several limitations. Since it is retrospective, some data were missing
216 and post-operative care was not standardized. Therefore there is a risk that the results could be
217 under or over-estimated. In addition, over the course of the 17 years there was an evolution of
218 techniques and management.

219 In conclusion, our study confirms that the use of anti TNF α in the three months before
220 surgery is a risk factor of major post-operative complications after ileocolic resection for
221 Crohn's disease. An interval of at least 3 months before the surgery is necessary. Otherwise,
222 the creation of a stoma should be considered. In addition, we have shown that the conversion
223 of laparoscopy to laparotomy was also a risk factor.

224 Finally, conducting a prospective study by correlating the number of risk factors and
225 the rate of post-operative complications could be relevant. This could lead to a nomogram
226 portraying high-risk post-operative complications and situations justifying a stoma.

227

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	N (%)
Morbidity	64 (43.8%)
Clavien Dindo	
I	22
II	21
III	20
IV	1
V	0
Complications grade I-II	63
<i>Medical</i>	21
Urinary infection	6
Acute urinary retention	2
Renal complication	4
Pericarditis	1
Pulmonary complication	2
VTE	2
Catheter infection	2
Acute pancreatitis	1
Claude Bernard Horner syndrome	1
<i>Surgical</i>	42
Occlusion	12
Wound infection	11
Stomal complication	7
Too productive	6
Bleeding	1
Intra abdominal infection	12
Anastomotic fistula	6
Intra abdominal abscess	6
Complications grade III-IV	21
<i>Medical</i>	1
Pulmonary complication	1
<i>Surgical</i>	20
Occlusion	1
Wound infection	1
Stomal prolapse	1
Compressive pneumoperitoneum	1
Intra-abdominal infection	16
Anastomotic fistula	10
Intra-abdominal abscess	6
Re-surgery	9 (6.2%)
Hospital stay, days (mean +/- SD)	11.2 +/- 6.7

VTE = venous thromboembolism ; SD = standard deviation :
N = number of patients

Table 1 : Post-operative outcomes.

	Total	Others	Complications Grade III-IV	P
	N = 146 (%)	N = 125	N = 21	
Age, years (mean +/- SD)	35.8 +/- 13	36.4 +/- 13.5	32.7 +/- 8.5	0.38
Gender (n)				0.32
F	76 (52.1)	63 (50.4)	13 (61.9)	
M	70 (47.9)	62 (49.6)	8 (38.1)	
ASA score (n)				0.33
1	26 (17.8)	21 (16.8)	5 (23.8)	
2	111 (76)	96 (76.8)	15 (71.4)	
3	5 (3.4)	5 (4)	0	
4	2 (1.4)	1 (0.8)	1 (4.8)	
Not available	2 (1.4)	2 (1.6)	0	
Smoking (n)	56 (38.4)	46 (36.8)	10 (47.6)	0.35
BMI, Kg/m² (mean +/-	21 +/- 3.9	21 +/- 4	21 +/- 3.3	0.8
Malnutrition (n)	50 (34.2)	45 (36)	5 (23.8)	0.26
Disease duration, months (mean +/- SD)	85.3 +/- 87.5	87.2 +/- 90.8	74.43 +/- 65.1	0.88
Indication for surgery (n)				0.89
Stenosis	82 (56.1)	69 (55.2)	13 (61.9)	
Penetrating	62 (42.5)	54 (43.2)	8 (38.1)	
Tumor	2 (1.4)	2 (1.6)	0	
Preoperative abscess (n)	50 (34.2)	42 (33.6)	8 (38.1)	0.69
Preoperative drainage (n)	20 (13.7)	16 (12.8)	4 (19)	0.44

*BMI = Body Mass Index ; ASA = American Society of Anesthesiologists ;
SD = standard deviation ; n = number of patients*

Table 2 : Pre-operative patient characteristics.

	Total	Others	Complications Grade III-IV	p
	N = 146 (%)	N = 125	N = 21 (14.4%)	
Anti TNF α in the 3 months (n)	50 (34.2)	36 (28.8)	14 (66.7)	<i>0.0007</i>
Corticosteroid in the 3 months (n)	62 (42.5)	50 (40)	12 (57.1)	<i>0.14</i>
Association (n)	25 (17.1)	17 (13.6)	8 (38.1)	<i>0.006</i>
Other treatments (n)				
Thiopurine	41 (28.1)	38 (30.4)	3 (14.3)	<i>0.12</i>
Methotrexate	4 (2.7)	4 (3.2)	0	<i>0.40</i>
Budesonide	24 (16.4)	21 (16.8)	3 (14.3)	<i>0.75</i>
Aminosialycile	38 (26)	35 (28)	3 (14.3)	<i>0.17</i>

Association = Association anti TNF α - systemic corticosteroid in the 3 months before surgery ; n =number of patients

Table 3: Pre-operative treatments.

	Total	Others	Complications Grade III-IV	p
	N = 146 (%)	N = 125	N = 21 (14.4%)	
Surgical approach (n)				<i>0.31</i>
Laparotomy	49 (33,6)	44 (35.2)	5 (23.88)	
Laparoscopy	97 (66.4)	81 (64.8)	16 (76.2)	
Conversion (n)	25 (17.1)	19 (15.2)	6 (28.6)	<i>0.13</i>
Digestive suture associated (n)	24 (16.4)	21 (16.8)	3 (14.3)	<i>0.78</i>
Anastomosis (n)				
Stapled	31 (21.2)	26 (20.8)	5 (23.8)	<i>0.76</i>
Manual	73 (50)	65 (52)	8 (31.1)	<i>0.24</i>
Stomy (n)	45 (30.8)	37 (29.6)	8 (38.1)	<i>0.73</i>
Operative time > 150 mn (n)	73 (50)	57 (50)	16 (80)	<i>0.01</i>

n = number of patients

Table 4 : Data on surgery.

	OR	<i>p</i>
Conversion	7.95 IC 95% (1.68-44.89)	<i>0.009</i>
Anti TNF α in the 3 months	4.21 IC 95% (1.23-16.87)	<i>0.02</i>

Table 5 : Multivariate analysis of risk factors for severe post-operative complications.